

# Extended energy density functionals and ground-state correlations in nuclei

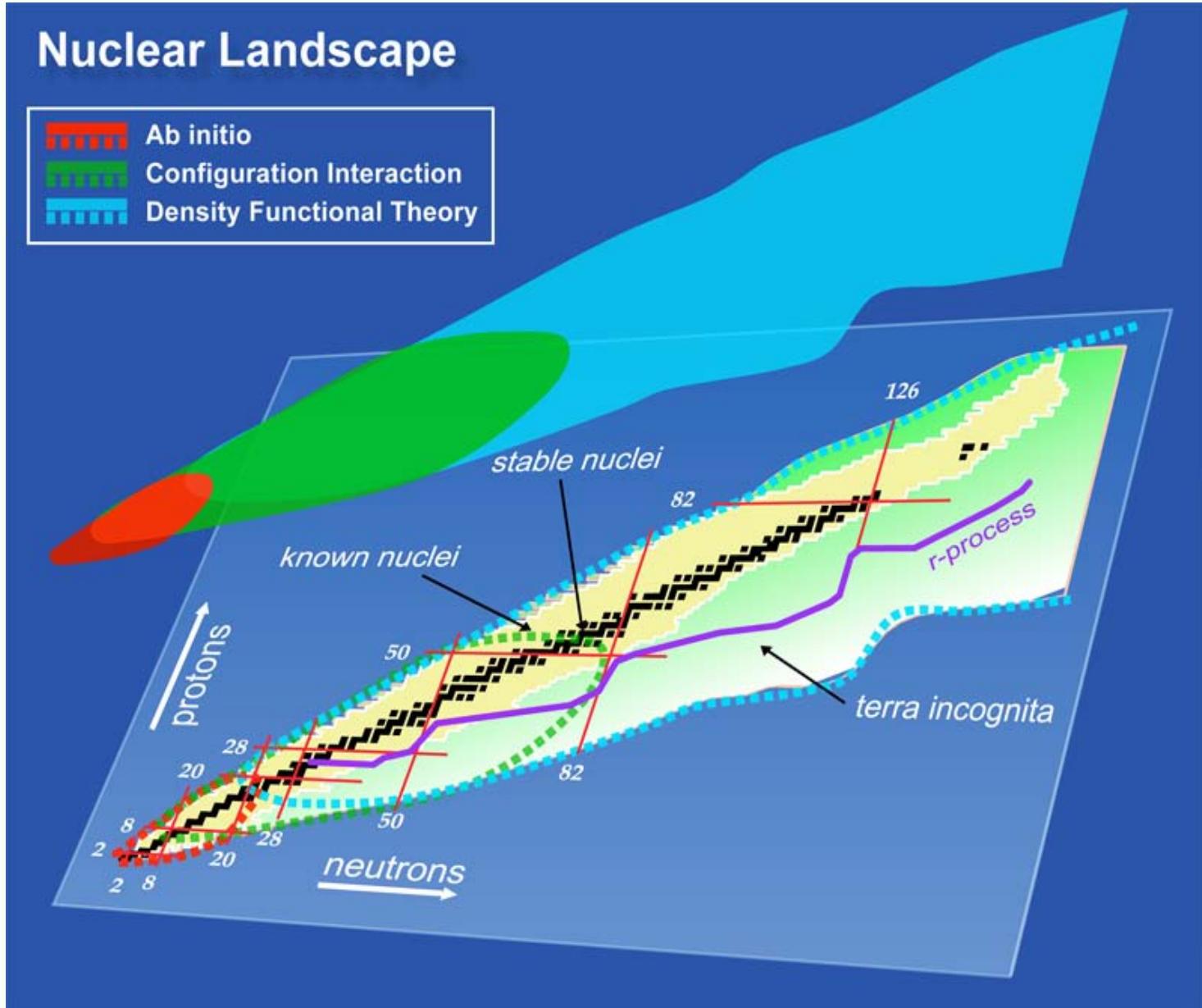
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11<sup>th</sup> Symposium on Nuclei in the Cosmos  
Heidelberg, 19-23 July 2010



# Universal Nuclear Energy Density Functional



# What is DFT?

Density Functional Theory:

A variational method that uses  
observables as variational  
parameters.

$$\delta \langle \hat{H} - \lambda \hat{Q} \rangle = 0$$



$$E = E(Q)$$

for  $E(\lambda) \equiv \langle \hat{H} \rangle$       and       $Q(\lambda) \equiv \langle \hat{Q} \rangle$

# Which DFT?

$$\delta\langle\hat{H} - \lambda\hat{Q}\rangle = 0 \implies E = E(Q)$$

$$\delta\langle\hat{H} - \sum_k \lambda_k \hat{Q}_k\rangle = 0 \implies E = E(Q_k)$$

$$\delta\langle\hat{H} - \int d\vec{q} \lambda(\vec{q}) \hat{Q}(\vec{q})\rangle = 0 \implies E = E[Q(\vec{q})]$$

$$\delta\langle\hat{H} - \int d\vec{r} \lambda(\vec{r}) \hat{\rho}(\vec{r})\rangle = 0 \implies E = E[\rho(\vec{r})]$$

for       $\hat{\rho}(\vec{r}) = \sum_{i=1}^A \delta(\vec{r} - \vec{r}_i)$

$$\delta\langle\hat{H} - \iint d\vec{r} d\vec{r}' \lambda(\vec{r}, \vec{r}') \hat{\rho}(\vec{r}, \vec{r}')\rangle = 0 \implies E = E[\rho(\vec{r}, \vec{r}')] \quad \text{!}$$



# What is the DFT good for?

$$\delta \langle \hat{H} - \lambda \hat{Q} \rangle = 0$$



$$E = E(Q)$$

Energy E is a  
function(al) of Q

- 1) Exact: Minimization of  $E(Q)$  gives the exact E and exact Q
- 2) Impractical: Derivation of  $E(Q)$  requires the full variation  $\delta$  (bigger effort than to find the exact ground state)
- 3) Inspirational: Can we build useful models  $E'(Q)$  of the exact  $E(Q)$ ?
- 4) Experiment-driven:  $E'(Q)$  works better or worse depending on the physical input used to build it.

# Nuclear Energy Density Functionals

# How the nuclear EDF is built?

$$E'[\rho(\vec{r}, \vec{r}')] = \iiint d\vec{r} d\vec{r}' \mathcal{H}(\rho(\vec{r}, \vec{r}'))$$

Gogny, M3Y,...

Non-local energy density is a function of non-local density

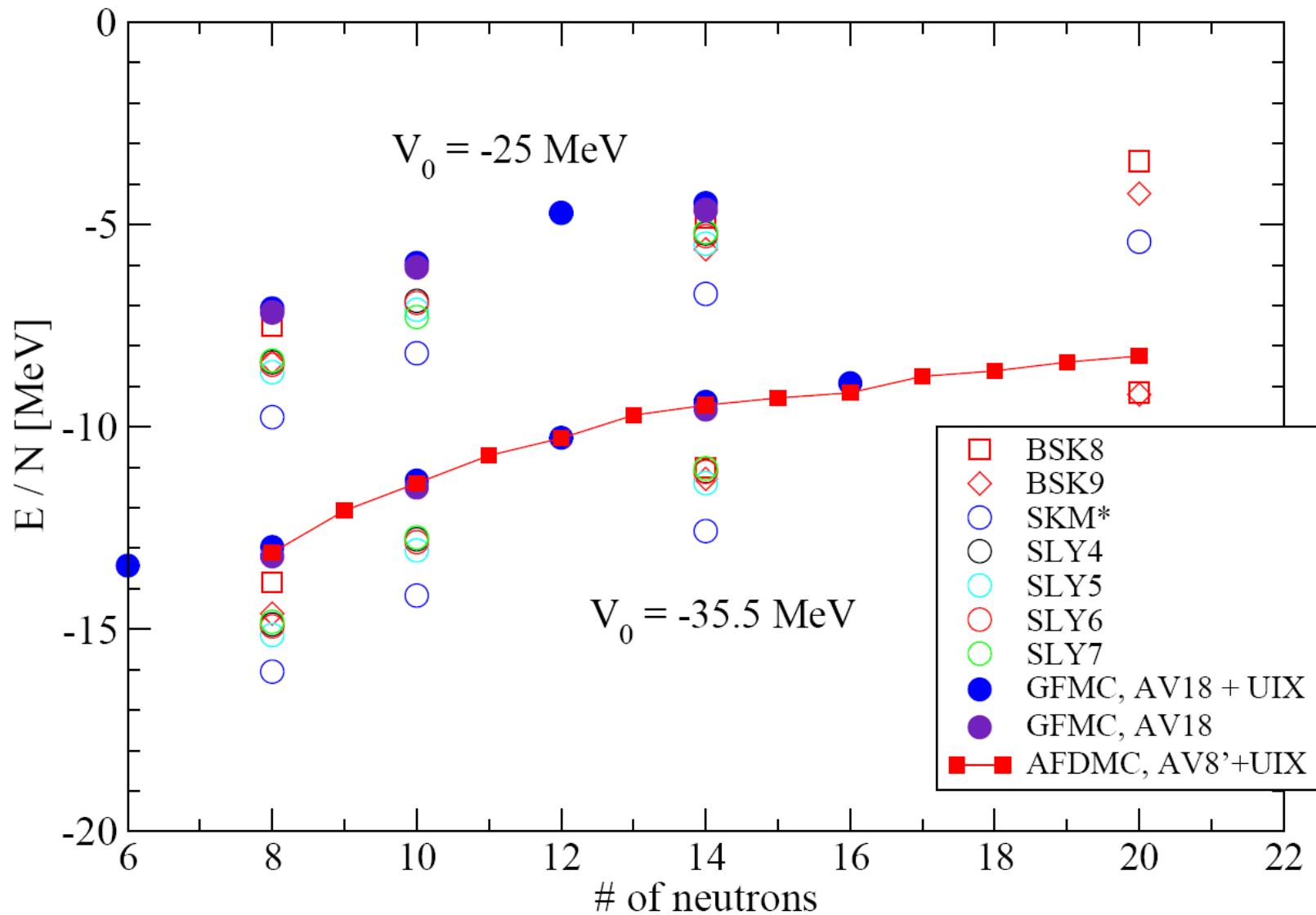
$$\mathcal{H}(\rho(\vec{r}, \vec{r}')) = V(\vec{r} - \vec{r}') [\rho(\vec{r})\rho(\vec{r}') - \rho(\vec{r}, \vec{r}')\rho(\vec{r}', \vec{r})]$$

$$E' = \int d\vec{r} \mathcal{H}(\rho(\vec{r}), \tau(\vec{r}), \Delta\rho(\vec{r}), \dots)$$

Skyrme, BCP,  
point-coupling,...

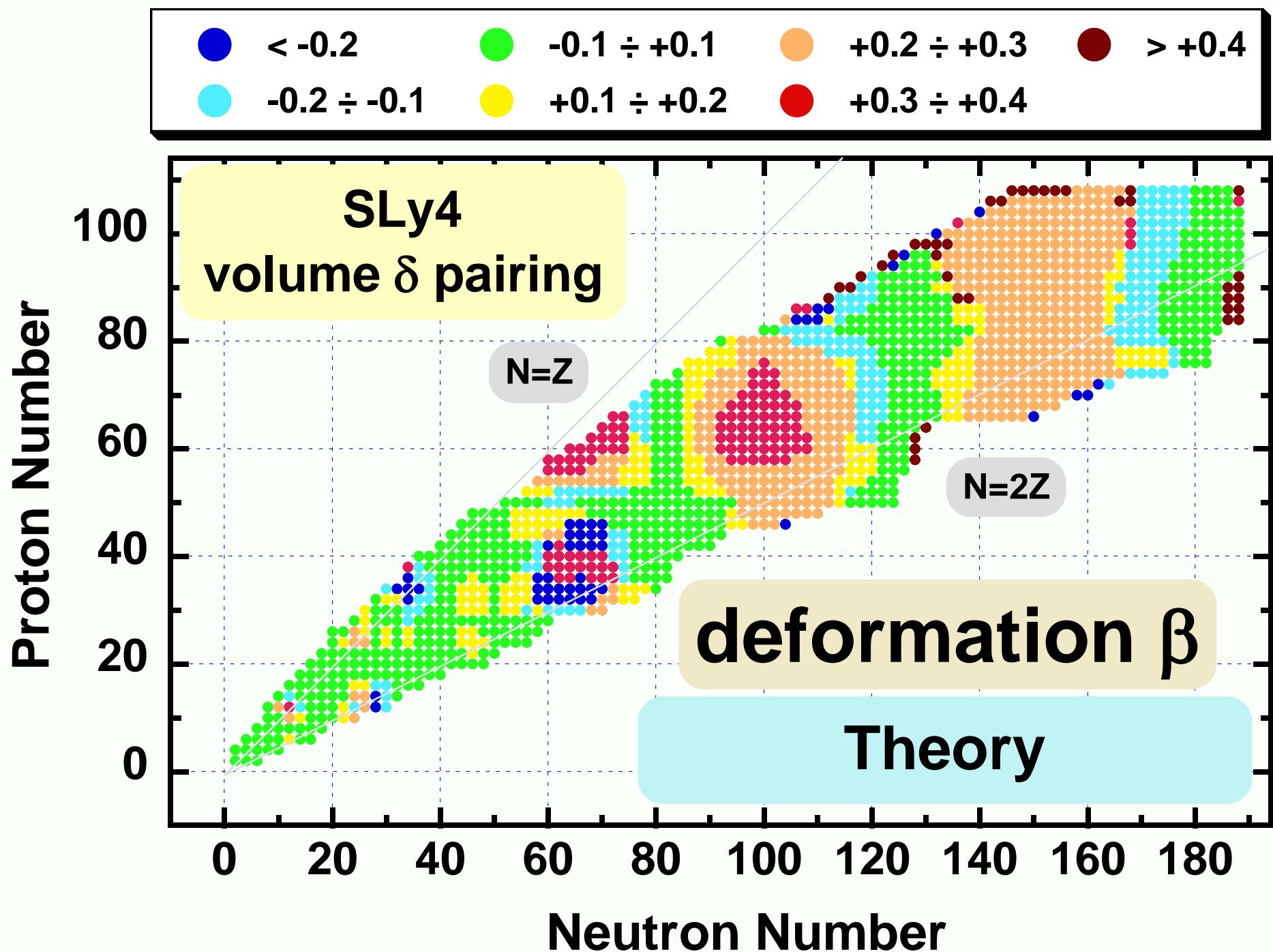
Quasi-local energy density is a function of local densities and gradients

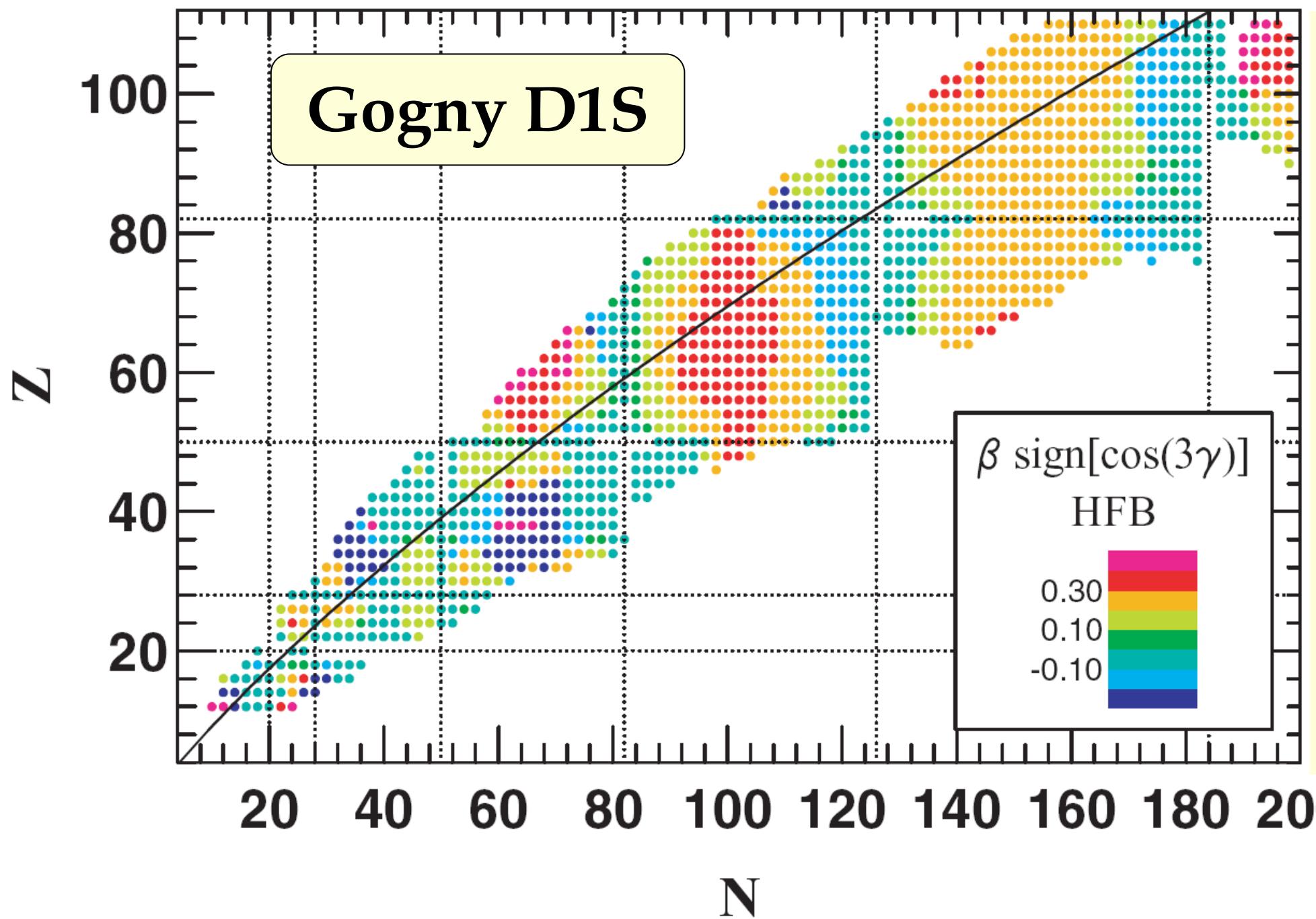
# Neutrons in external Woods-Saxon well



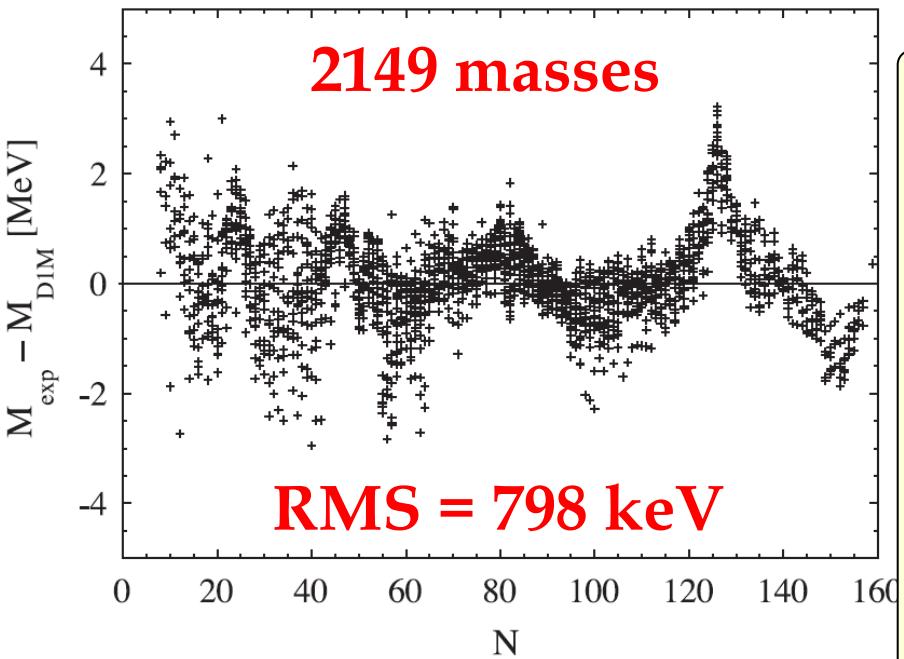
# Applications





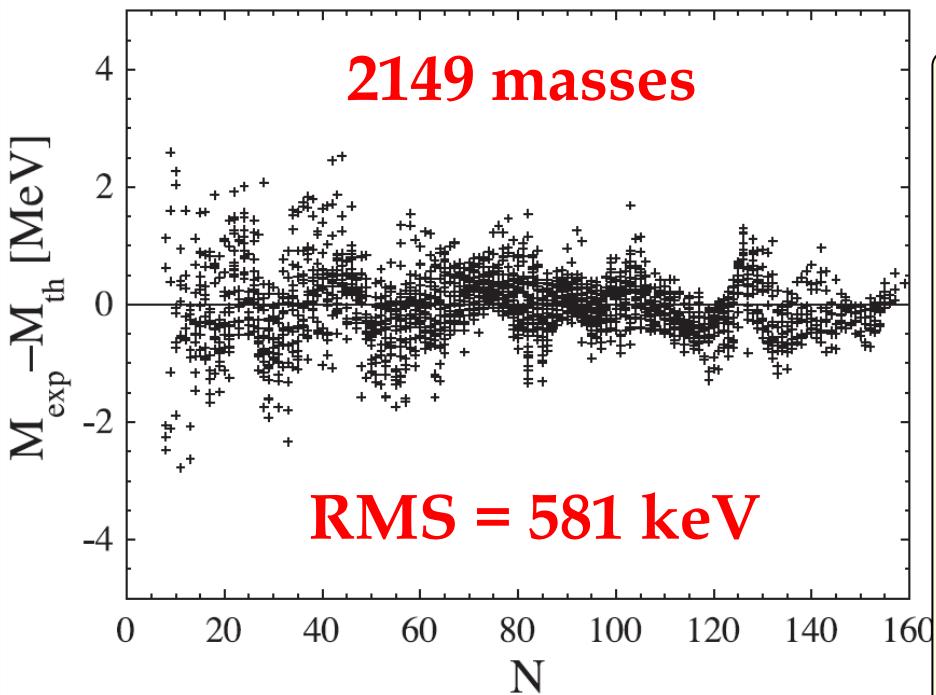


# Nuclear binding energies (masses)



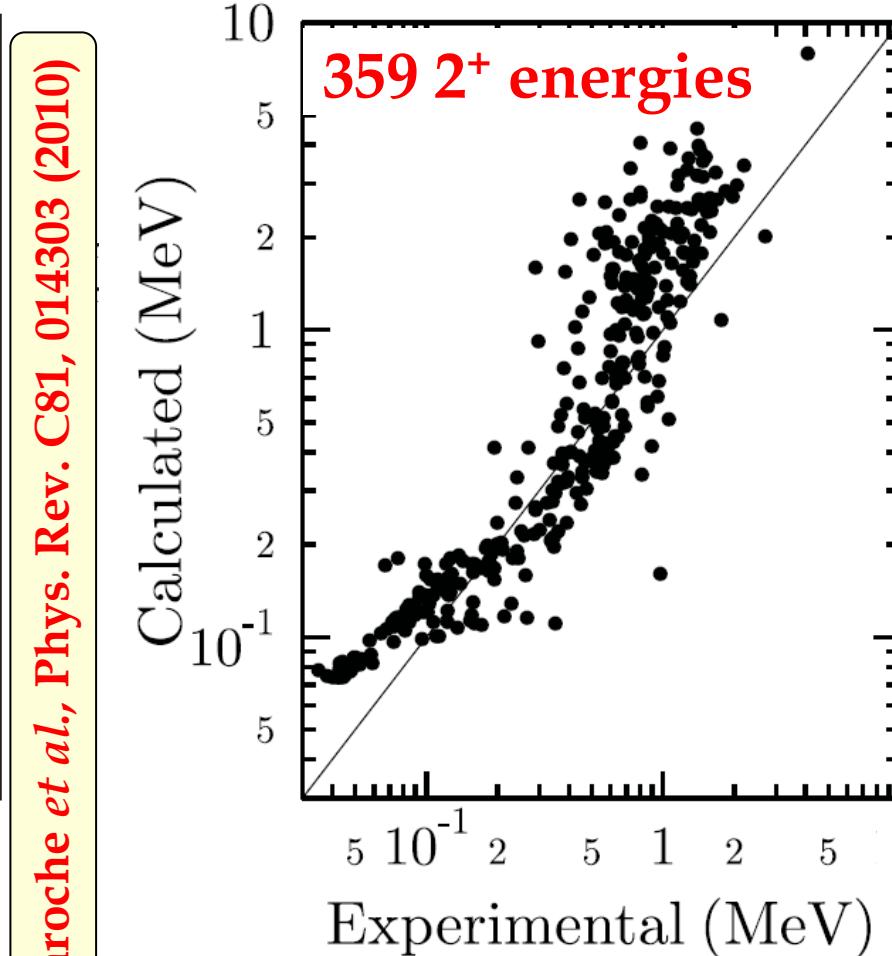
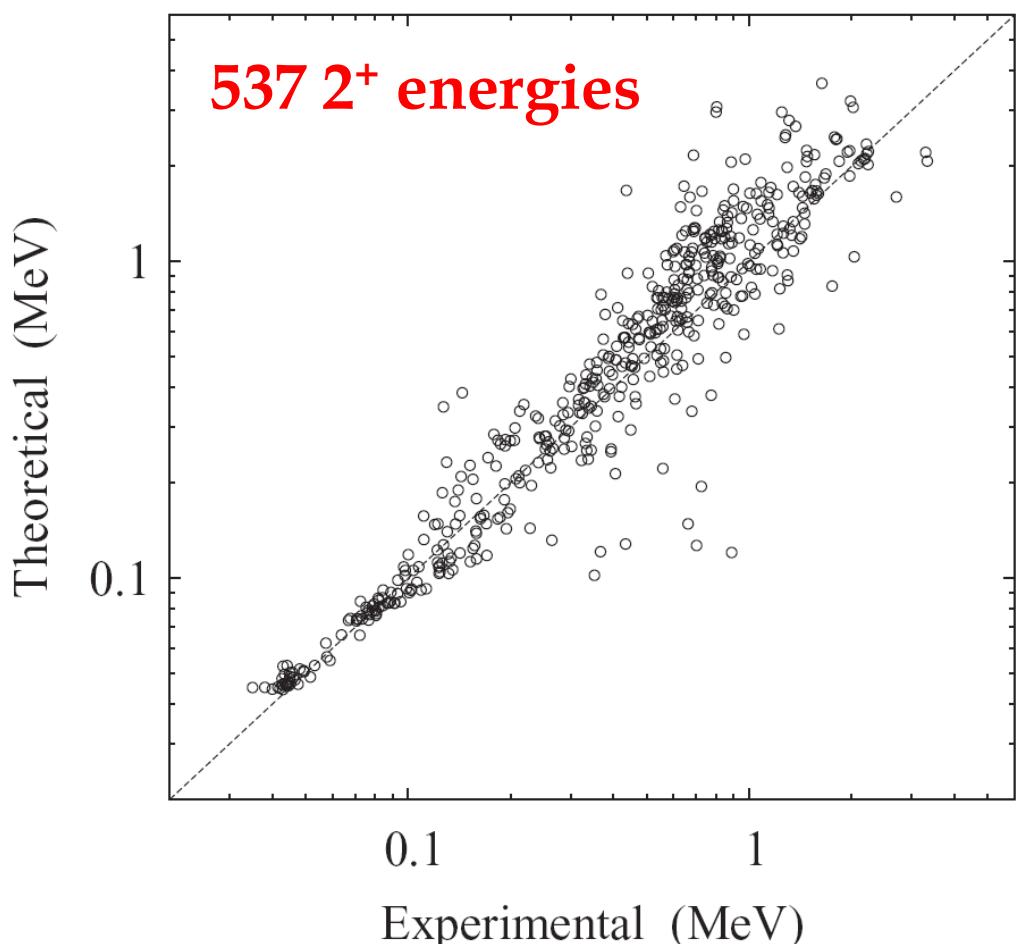
S. Goriely *et al.*, Phys. Rev. Lett. 102, 242501 (2009)

The first Gogny HFB mass model. An explicit and self-consistent account of all the quadrupole correlation energies are included within the 5D collective Hamiltonian approach.



The new Skyrme HFB nuclear-mass model, in which the contact-pairing force is constructed from microscopic pairing gaps of symmetric nuclear matter and neutron matter.

# First $2^+$ excitations of even-even nuclei



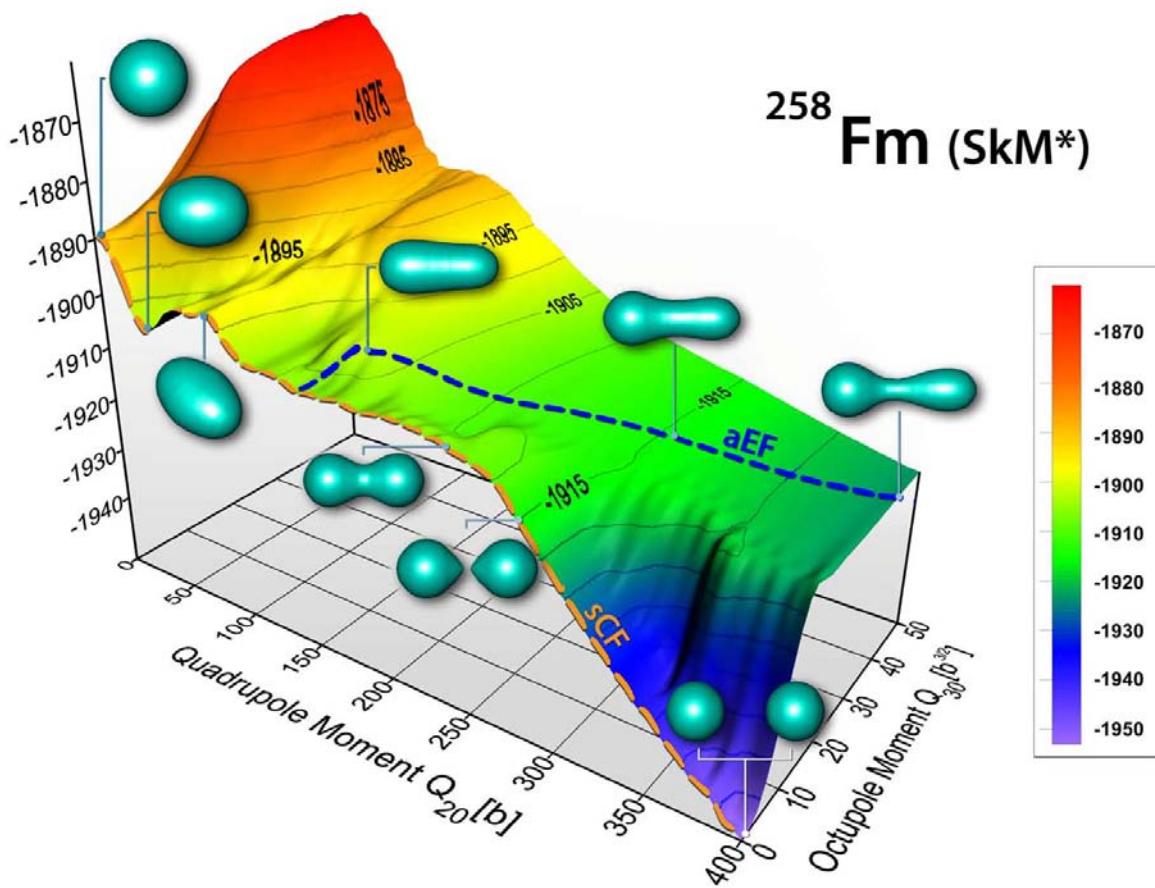
Gogny HFB calculations plus  
the 5D collective Hamiltonian  
approach.

J.-P. Delaroche *et al.*, Phys. Rev. C81, 014303 (2010)

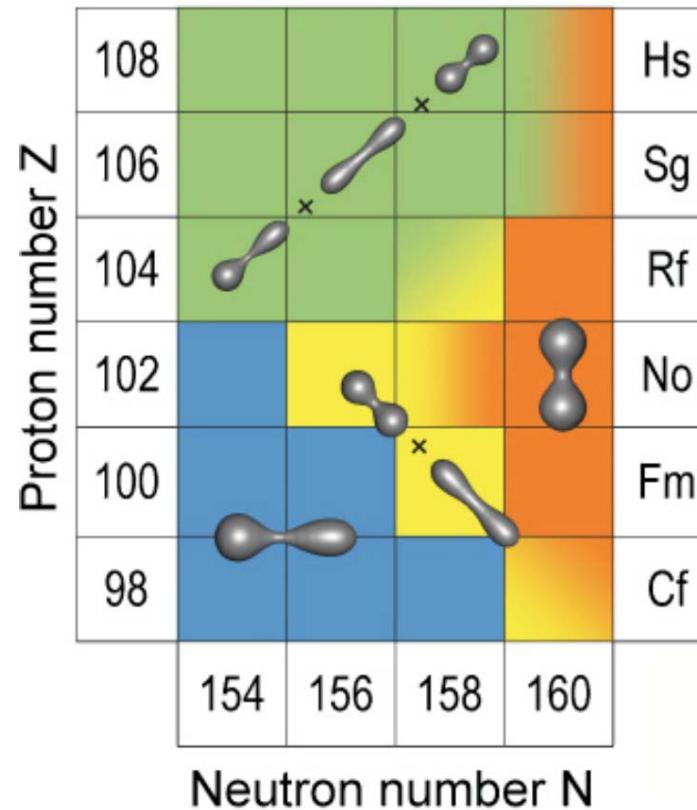
Skyrme HF+BCS calculations  
plus the particle-number and  
angular-momentum  
projection and shape mixing.

B. Sabbey *et al.*, Phys. Rev. C75, 044305 (2007)

# Spontaneous fission

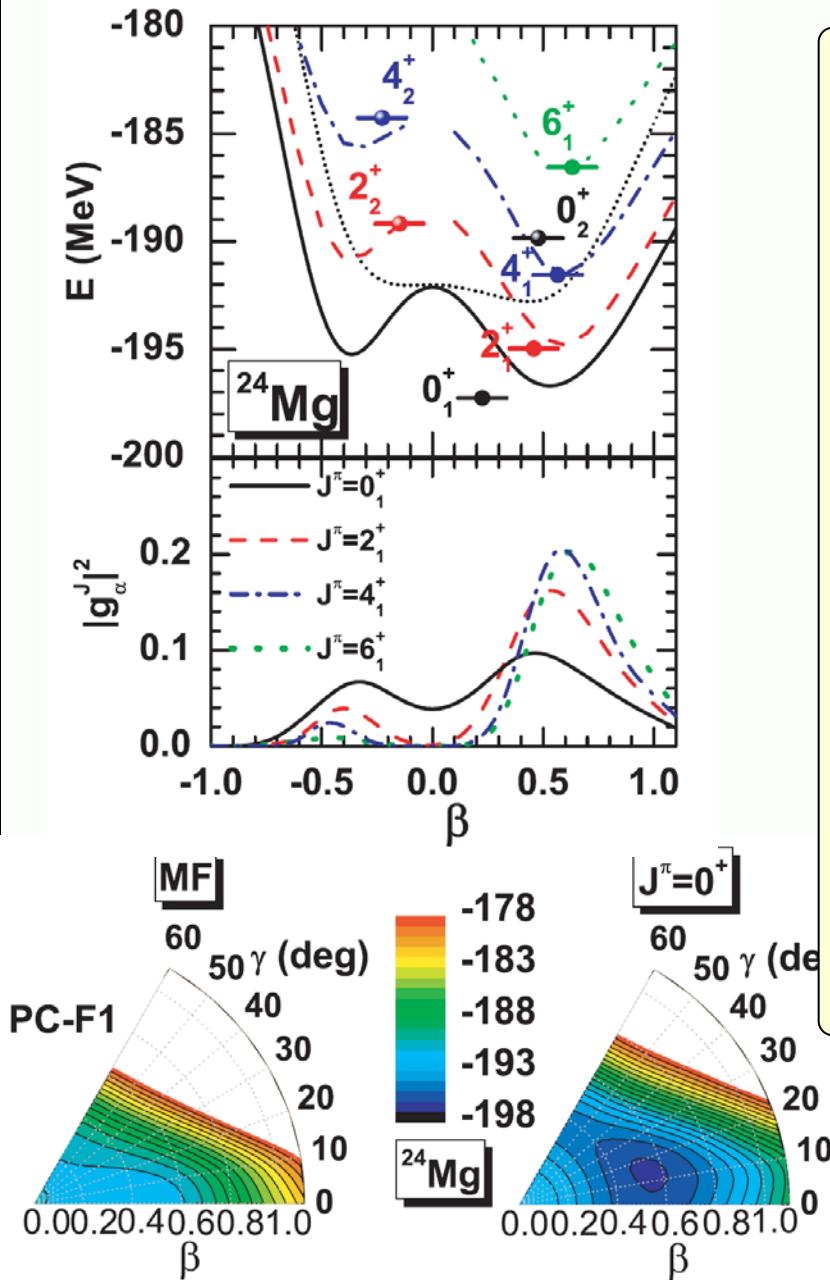


UNEDF collaboration: SciDAC Review 6, 42 (2007)

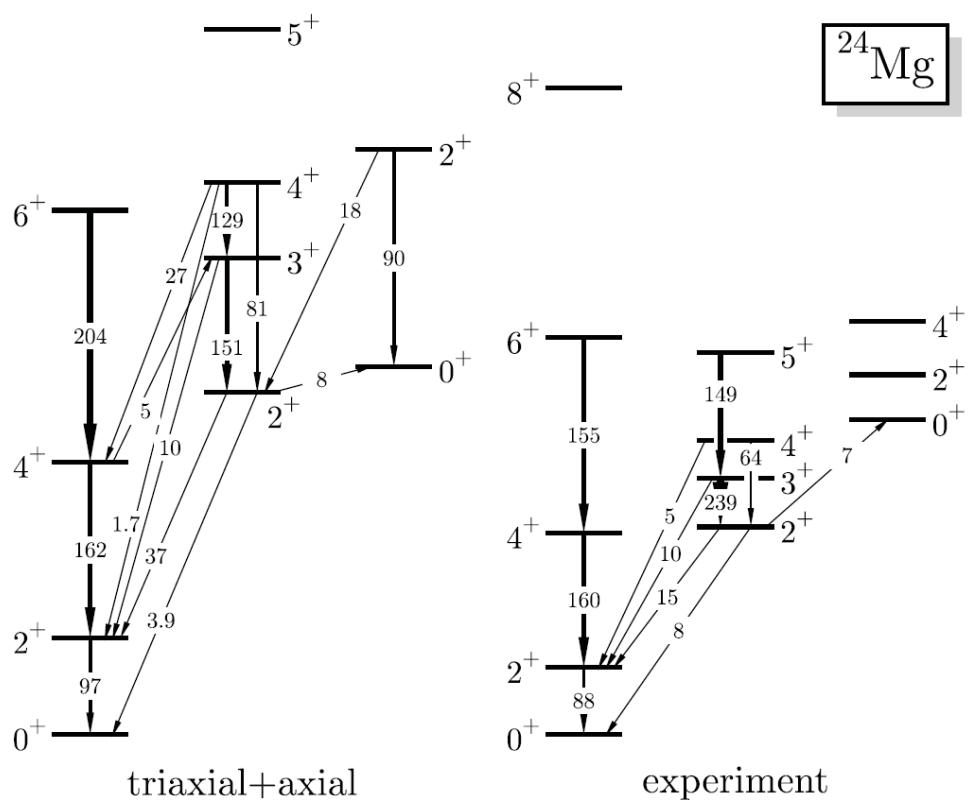


Symmetry-unrestricted  
Skyrme HF+BCS  
calculations.

# Collective states in even-even nuclei



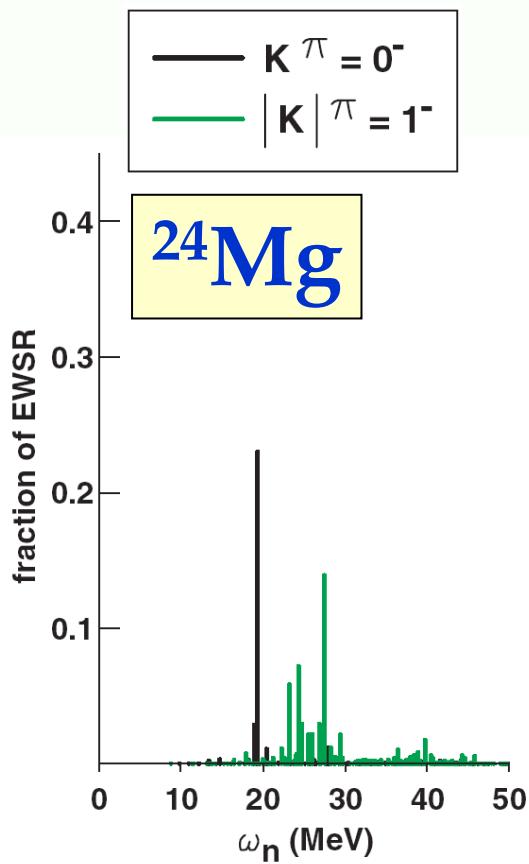
J.M. Yao *et al.*, Phys. Rev. C81, 044311 (2010)



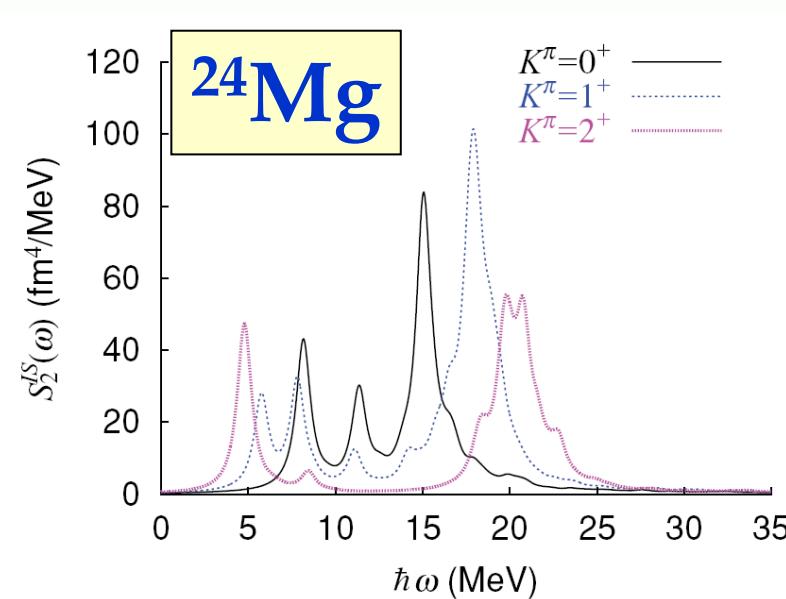
M. Bender *et al.*, Phys. Rev. C78, 024309 (2008)

$$|\Psi_{NZ,JM}\rangle = \int d\beta d\gamma \sum_K f_K(\beta, \gamma) \times \hat{P}_N \hat{P}_Z \hat{P}_{JMK} |\Psi(\beta, \gamma)\rangle$$

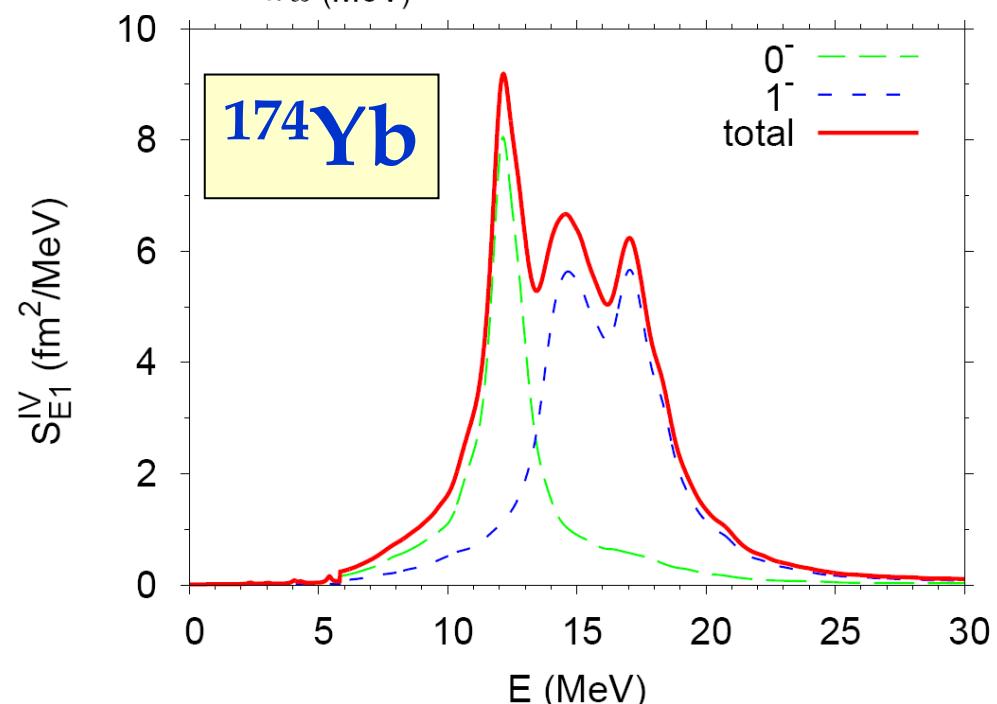
# Giant resonances in deformed nuclei



S. Péru *et al.*, Phys. Rev. C77, 044313 (2008)



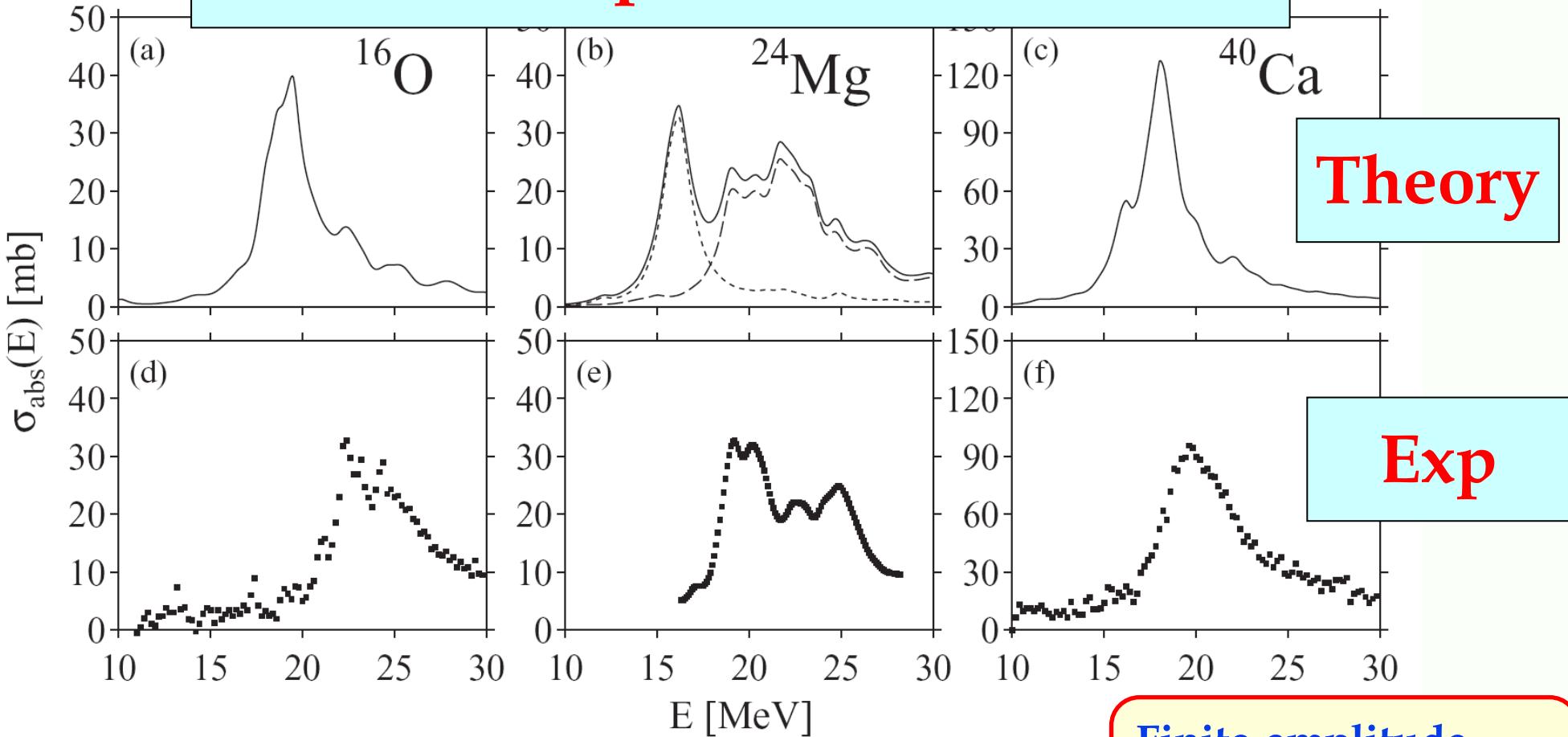
K. Yoshida *et al.*, Phys.  
Rev. C78, 064316 (2008)



J. Terasaki *et al.*, arXiv:1006.0010

# Iterative methods to solve (Q)RPA

## Photoabsorption cross sections

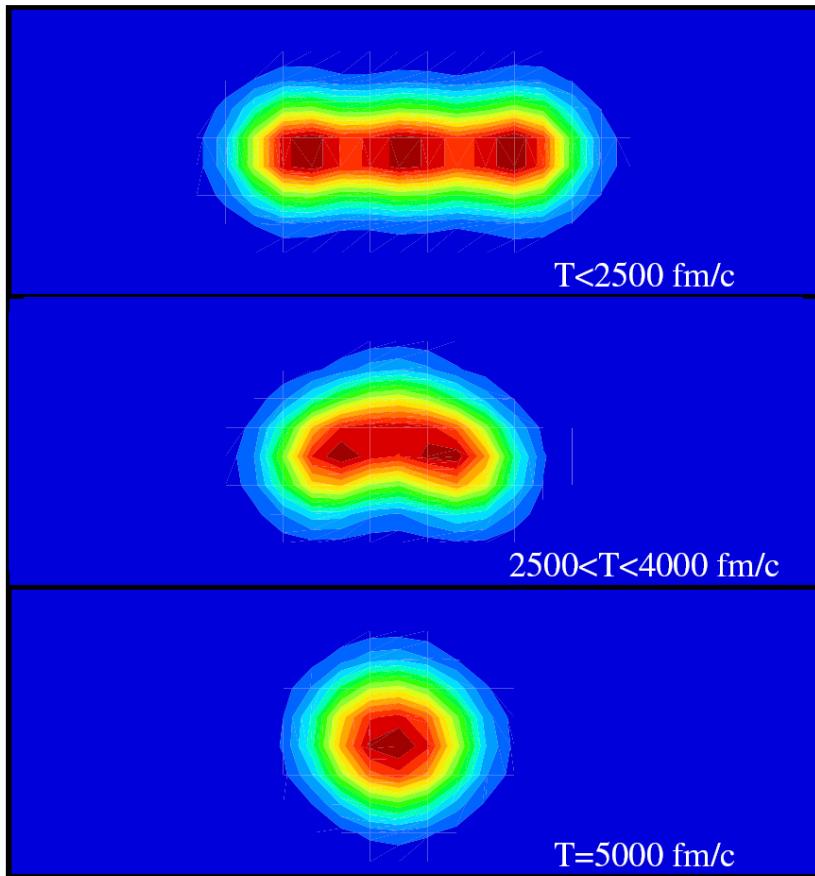


T. Inakura *et al.*, Phys. Rev. C80, 044301 (2009)

Finite-amplitude  
method to solve the  
QRPA equations.

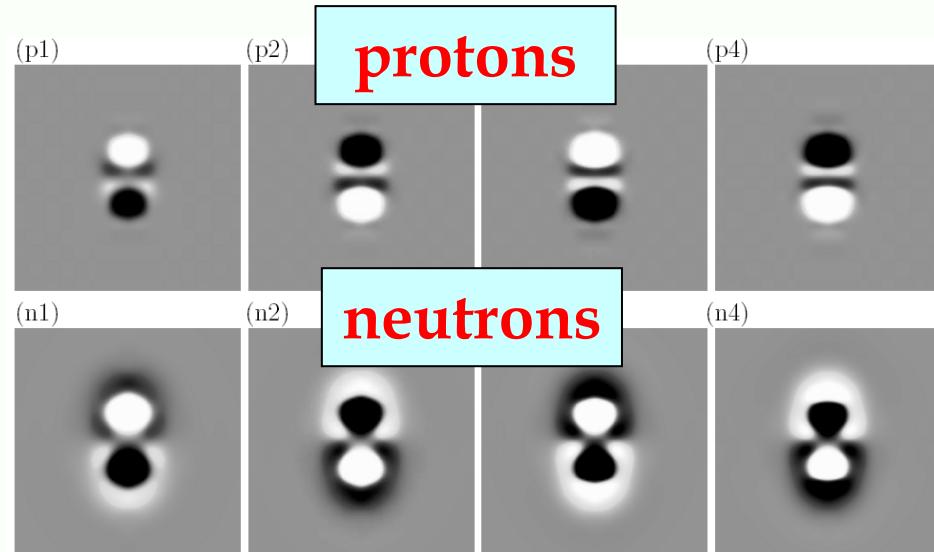
# Time-dependent solutions

## $\alpha$ - ${}^8\text{Be}$ collision (tip configuration)



A.S. Umar *et al.*, Phys. Rev. Lett. 104, 212503 (2010)

## Dipole oscillations in ${}^{14}\text{Be}$



UNEDF collaboration: Quantum  
Dynamics with TDSLDA,  
A. Bulgac, *et al.*,  
<http://www.phys.washington.edu/groups/qmbnt/index.html>

T. Nakatsukasa *et al.*, Nucl. Phys. A788, 349 (2007)

# Nuclear Energy Density Functional

We consider the EDF in the form,

$$\mathcal{E} = \int d^3r \mathcal{H}(r),$$

where the energy density  $\mathcal{H}(r)$  can be represented as a sum of the kinetic energy and of the potential-energy isoscalar ( $t = 0$ ) and isovector ( $t = 1$ ) terms,

$$\mathcal{H}(r) = \frac{\hbar^2}{2m} \tau_0 + \mathcal{H}_0(r) + \mathcal{H}_1(r),$$

which for the time-reversal and spherical symmetries imposed read:

$$\mathcal{H}_t(r) = C_t^\rho \rho_t^2 + C_t^\tau \rho_t \tau_t + C_t^{\Delta\rho} \rho_t \Delta\rho_t + \frac{1}{2} C_t^J J_t^2 + C_t^{\nabla J} \rho_t \nabla \cdot J_t.$$

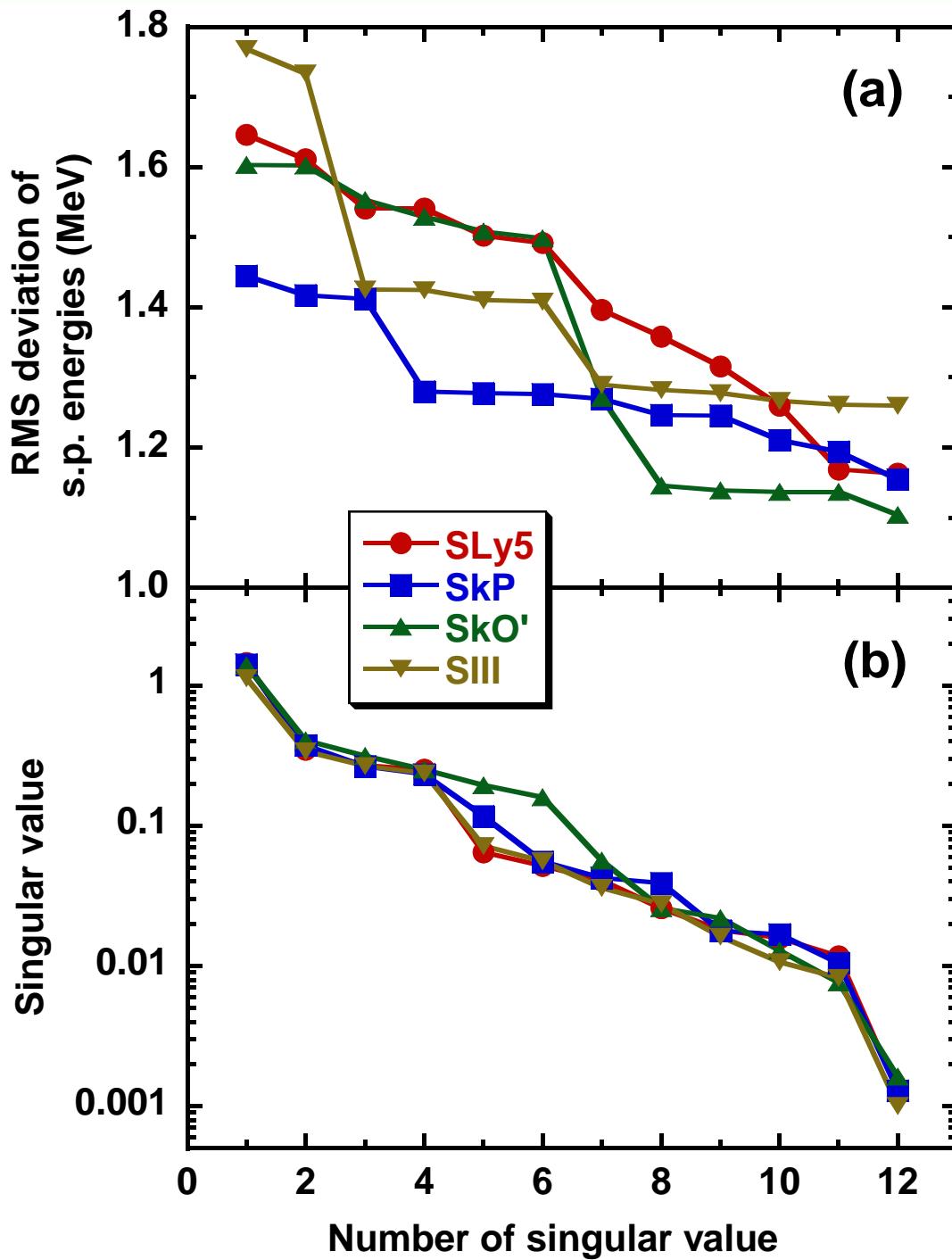
Following the parametrization used for the Skyrme forces, we assume the dependence of the coupling parameters  $C_t^\rho$  on the isoscalar density  $\rho_0$  as:

$$C_t^\rho = C_{t0}^\rho + C_{tD}^\rho \rho_0^\alpha.$$

The standard EDF depends linearly on 12 coupling constants,

$$C_{t0}^\rho, \quad C_{tD}^\rho, \quad C_t^\tau, \quad C_t^{\Delta\rho}, \quad C_t^J, \quad \text{and} \quad C_t^{\nabla J},$$

for  $t = 0$  and 1.



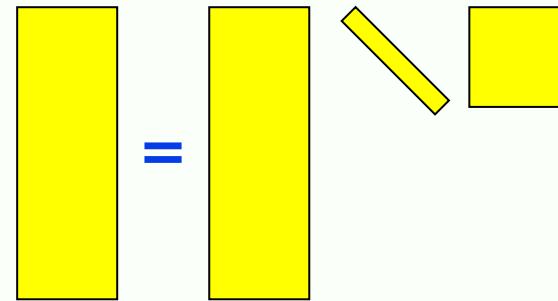
## Fits of s.p. energies

$$\epsilon_i - \epsilon_i^{\text{EXP}} = - \sum_m \beta_{im} \Delta C_m,$$

EXP: M.N. Schwierz, I. Wiedenhofer, and A. Volya, arXiv:0709.3525

## Singular value decomposition

$$\beta_{im} = \sum_{\mu} V_{i\mu} d_{\mu} U_{\mu m}^T,$$



$$\sum_i V_{i\mu} V_{i\nu} = \delta_{\mu\nu},$$

$$\sum_m U_{m\mu} U_{m\nu} = \delta_{\mu\nu},$$

# Derivatives of higher order: Negele & Vautherin density matrix expansion

Nr	Tensor	order	$n$	rank	$L$
1	1	0	0	0	
2	$\nabla$	1	1	1	
3	$\Delta$	2	0	0	
4	$[\nabla\nabla]_2$	2	2	2	
5	$\Delta\nabla$	3	1	1	
6	$[\nabla[\nabla\nabla]_2]_3$	3	3	3	
7	$\Delta^2$	4	0	0	
8	$\Delta[\nabla\nabla]_2$	4	2	2	
9	$[\nabla[\nabla[\nabla\nabla]_2]_3]_4$	4	4	4	
10	$\Delta^2\nabla$	5	1	1	
11	$\Delta[\nabla[\nabla\nabla]_2]_3$	5	3	3	
12	$[\nabla[\nabla[\nabla[\nabla\nabla]_2]_3]_4]_5$	5	5	5	
13	$\Delta^3$	6	0	0	
14	$\Delta^2[\nabla\nabla]_2$	6	2	2	
15	$\Delta[\nabla[\nabla[\nabla\nabla]_2]_3]_4$	6	4	4	
16	$[\nabla[\nabla[\nabla[\nabla[\nabla\nabla]_2]_3]_4]_5]_6$	6	6	6	

Nr	Tensor	order	$n$	rank	$L$
1	1	0	0	0	
2	$k$	1	1	1	
3	$k^2$	2	0	0	
4	$[kk]_2$	2	2	2	
5	$k^2k$	3	1	1	
6	$[k[kk]_2]_3$	3	3	3	
7	$(k^2)^2$	4	0	0	
8	$k^2[kk]_2$	4	2	2	
9	$[k[k[kk]_2]_3]_4$	4	4	4	
10	$(k^2)^2k$	5	1	1	
11	$k^2[kkk]_3$	5	3	3	
12	$[k[k[kkk]_2]_3]_4]_5$	5	5	5	
13	$(k^2)^3$	6	0	0	
14	$(k^2)^2[kk]_2$	6	2	2	
15	$k^2[kkk]_3]_4$	6	4	4	
16	$[k[kkk]_3]_4]_5]_6$	6	6	6	

Total derivatives  $(\vec{\nabla}^m)_I$  up to N<sup>3</sup>LO

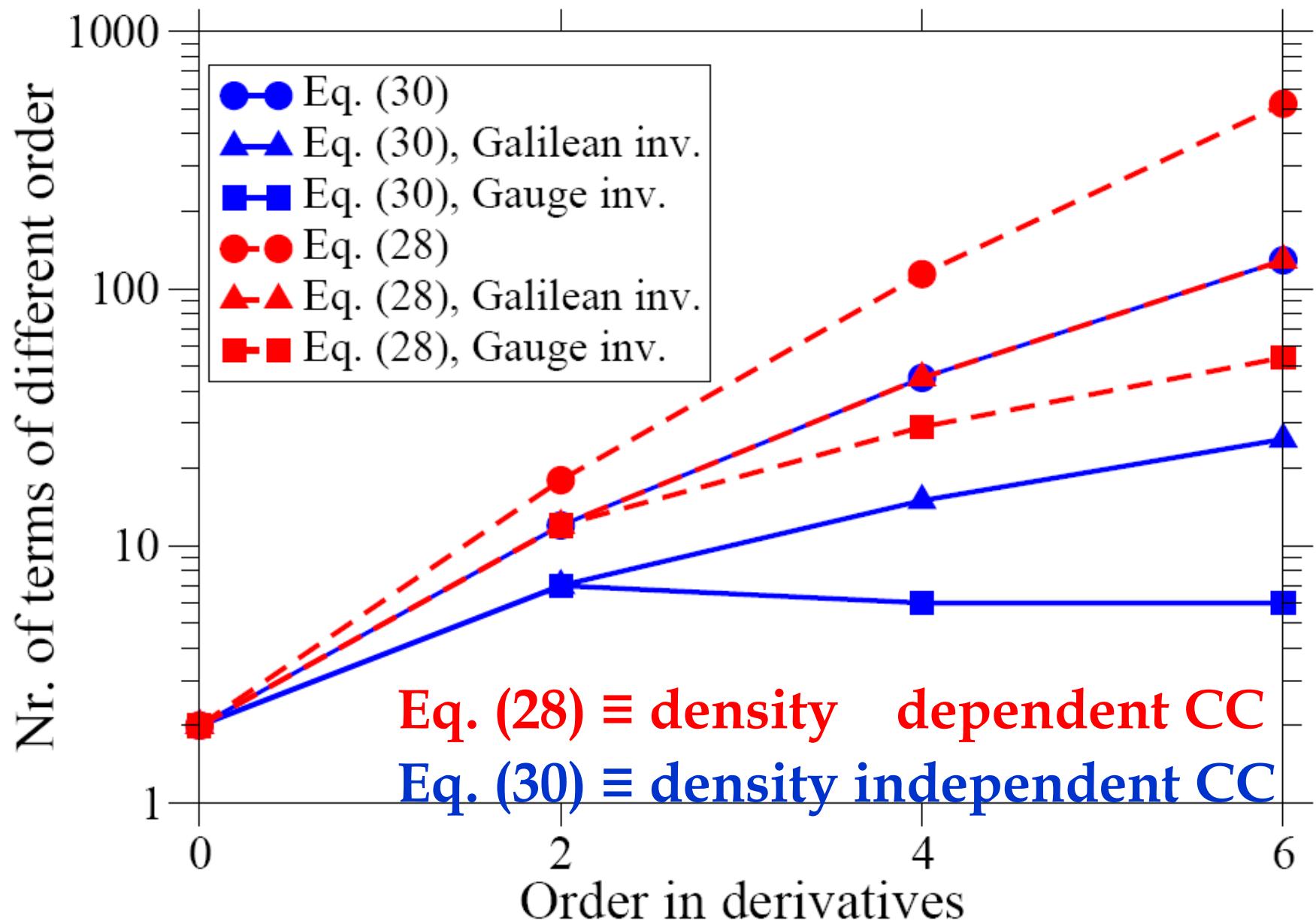
$$\nabla = \nabla_1 + \nabla_2, \quad k = \frac{1}{2i} (\nabla_1 - \nabla_2),$$

$$\rho_{v=0} = \rho(r_1, r_2), \quad \rho_{v=1} = \vec{s}(r_1, r_2),$$

$$\rho_{nLvJ} = ((\vec{k}^n)_L \rho_v)_J \text{ (primary)}, \quad \rho_{mInLvJQ} = ((\vec{\nabla}^m)_I ((\vec{k}^n)_L \rho_v)_J)_Q \text{ (secondary)}$$

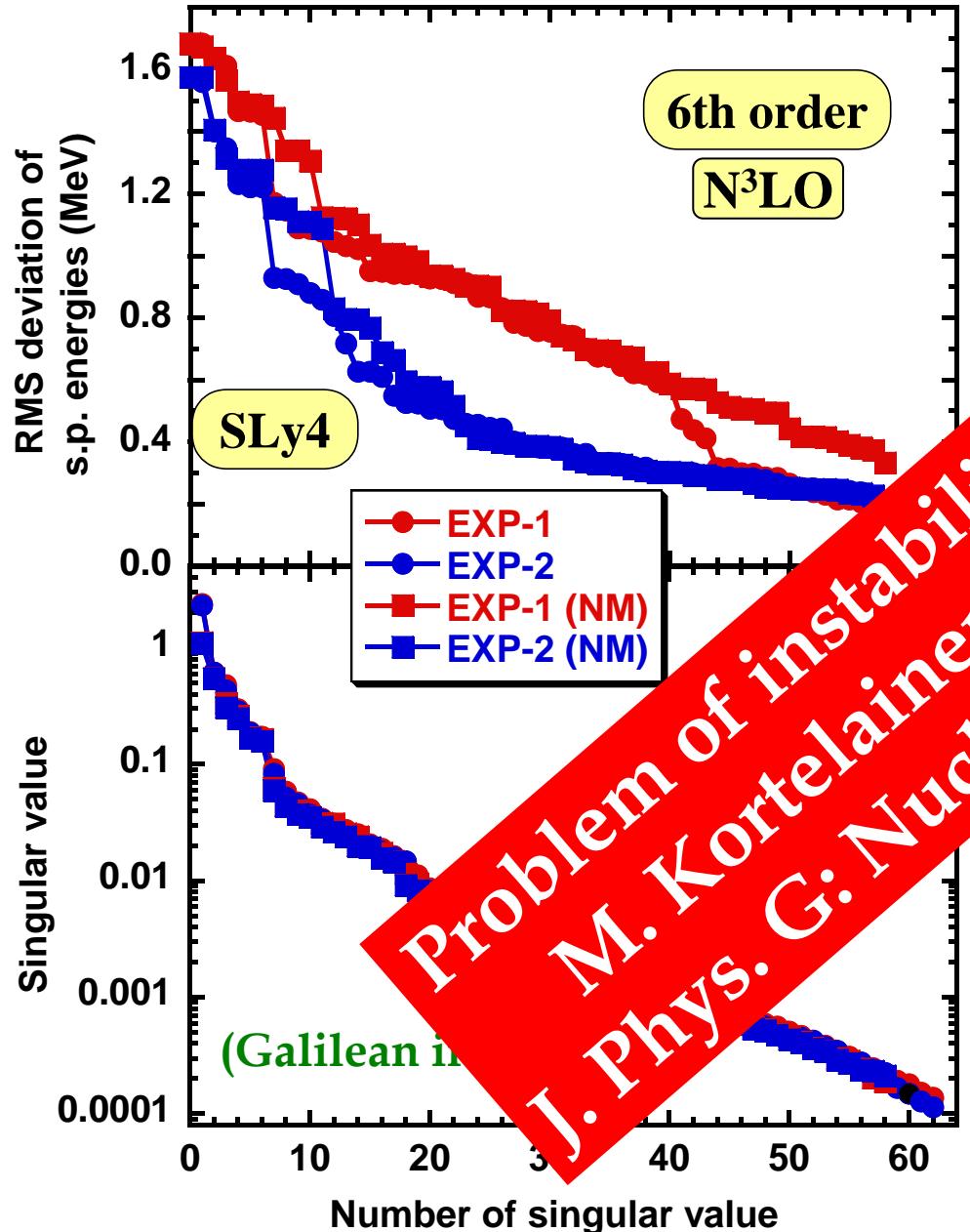


# Numbers of terms in the density functional up to N<sup>3</sup>LO



B.G. Carlsson *et al.*, Phys. Rev. C 78, 044326 (2008)

# Fits of s.p. energies – regression analysis



Problem of instabilities unsolved yet  
M. Kortelainen and T. Lesinski  
J. Phys. G: Nucl. Part. Phys. 37 064039 and  
arXiv:0709.3525

published

B.G. Carlsson et al., to be published

EXP-1:  
M.N  
I.Y

NM:  
Nuclear-matter  
constraints on:

- saturation density
- energy per particle
- incompressibility
- effective mass

# Challenges

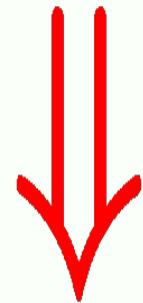


# Collectivity

beyond mean field, ground-state correlations, shape coexistence, symmetry restoration, projection on good quantum numbers, configuration interaction, generator coordinate method, multi-reference DFT, etc....

$$E = \langle \Psi | \hat{H} | \Psi \rangle \simeq \iint d\vec{r} d\vec{r}' \mathcal{H}(\rho(\vec{r}, \vec{r}'))$$

True for  
mean field



$$\text{for } \rho(\vec{r}, \vec{r}') = \frac{\langle \Psi | a^+(\vec{r}') a(\vec{r}') | \Psi \rangle}{\langle \Psi | \Psi \rangle}$$

$$\langle \Psi_1 | \hat{H} | \Psi_2 \rangle \simeq \iint d\vec{r} d\vec{r}' \mathcal{H}(\rho_{12}(\vec{r}, \vec{r}'))$$

$$\text{for } \rho_{12}(\vec{r}, \vec{r}') = \frac{\langle \Psi_1 | a^+(\vec{r}') a(\vec{r}') | \Psi_2 \rangle}{\langle \Psi_1 | \Psi_2 \rangle}$$

# Extensions

★ I. Range separation and exact long-range effects

$$\mathcal{H}(\rho) = \mathcal{H}_{\text{long}}(\rho) + \mathcal{H}_{\text{short}}(\rho)$$

★ II. Derivatives of higher order:

$$\mathcal{H}(\rho) = \mathcal{H}(\rho, \tau, \tau_4, \tau_6, \Delta\rho, \Delta^2\rho, \Delta^3\rho, \dots)$$

★ III. Products of more than two densities:

$$\mathcal{H}(\rho) = \mathcal{H}(\rho^2, \rho^3, \tau^2, \tau^3, \rho\tau, \rho^2\tau, \dots)$$

# Synopsis and take-away messages

## Nuclear EDF methods:

- provide us with universal understanding of global low-energy nuclear properties and feature an impressive array of applications.
- may be rooted in the effective-theory approach whereupon low-energy phenomena can be successfully modeled without resolving high-energy properties.
- strongly rely upon and use the continuous progress in high-power computing.
- must be transformed from modeling to theory by working out consistent scheme of consecutive corrections that would allow for the increased precision and predictive power.